

# **Lower stratospheric aerosol and temperature trends: How well do we understand the Pinatubo period?**

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## Motivation I: Volcanoes and geoengineering



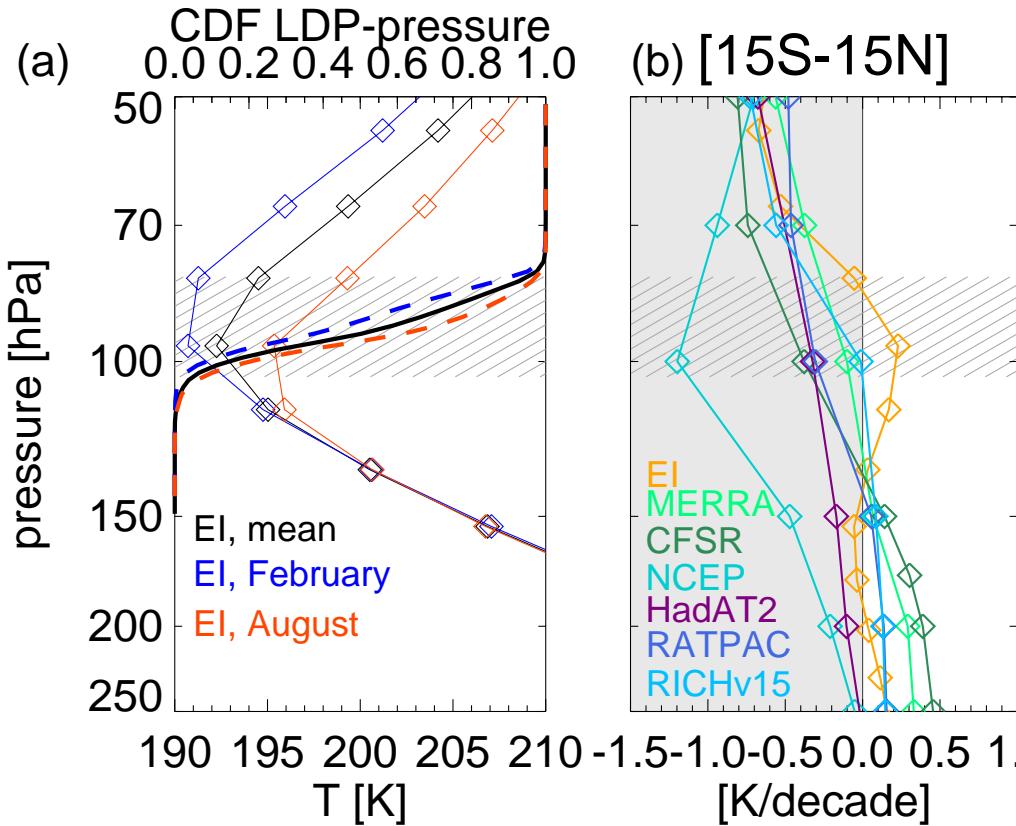
2 major volcanic eruptions during 'satellite era', **El Chichon** (1982) and **Pinatubo** (1991).

Pinatubo is the best observed analogue to 'geo-engineering' with stratospheric aerosol.

→ Do models capture the changes in the Pinatubo period?

Eruption of Mt Pinatubo, June 1991.

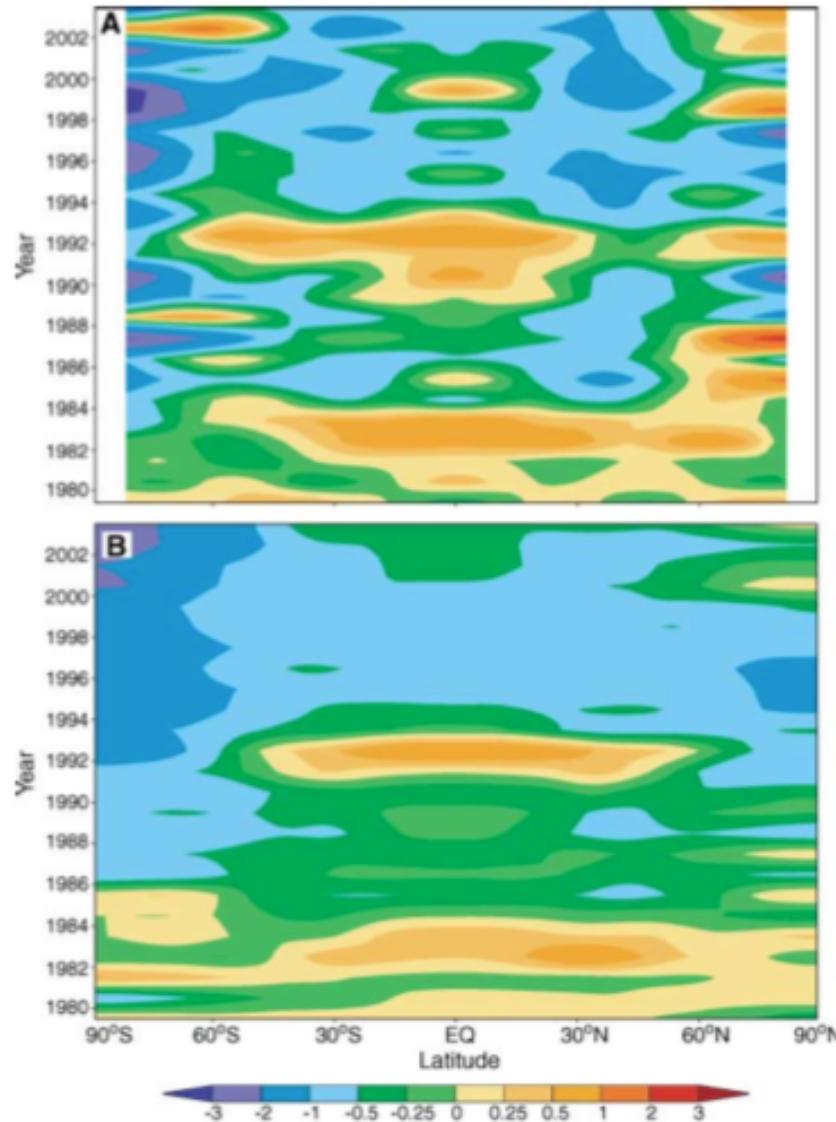
## Motivation II: Interpretation of the observational record



Since 1980's observations of temperatures and tracers allows better constraining 'climate change'. In stratosphere, radiatively active tracers other than CO<sub>2</sub> and CH<sub>4</sub> (such as ozone, aerosol, and to lesser degree H<sub>2</sub>O) are important. Unfortunately, the tracer observations also have large un-

- (a) Tropical temperature profiles (annual mean, February, August).
  - (b) Tropical temperature trends 1980-2009 from range of datasets.
- (Fueglistaler et al. 2013).

# Modeling results



Ramaswamy et al. 2006 (Science).

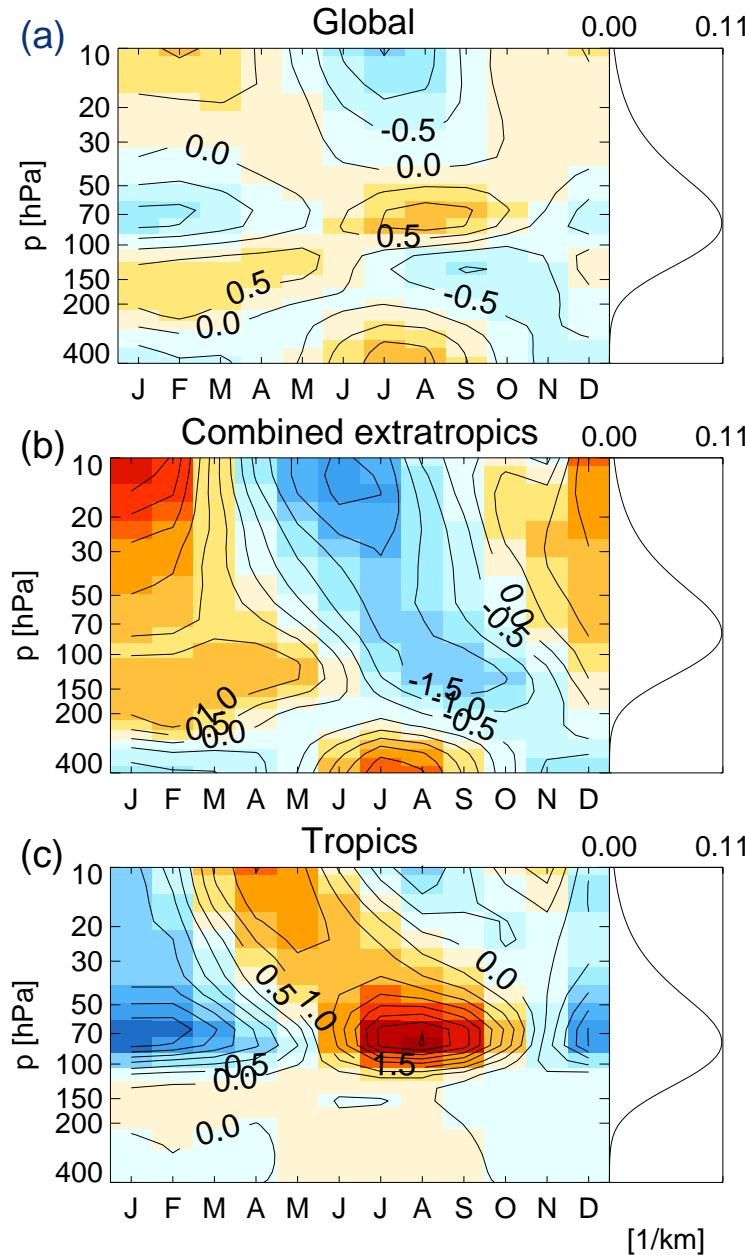
Modelled and observed temperature anomalies in the lower stratosphere ('MSU-4').

→ Overall reasonable agreement.

→ End of talk?

MSU-4 broad averaging kernel that tends to obscure important aspects.

# Problematic aspects of MSU-4 weighting



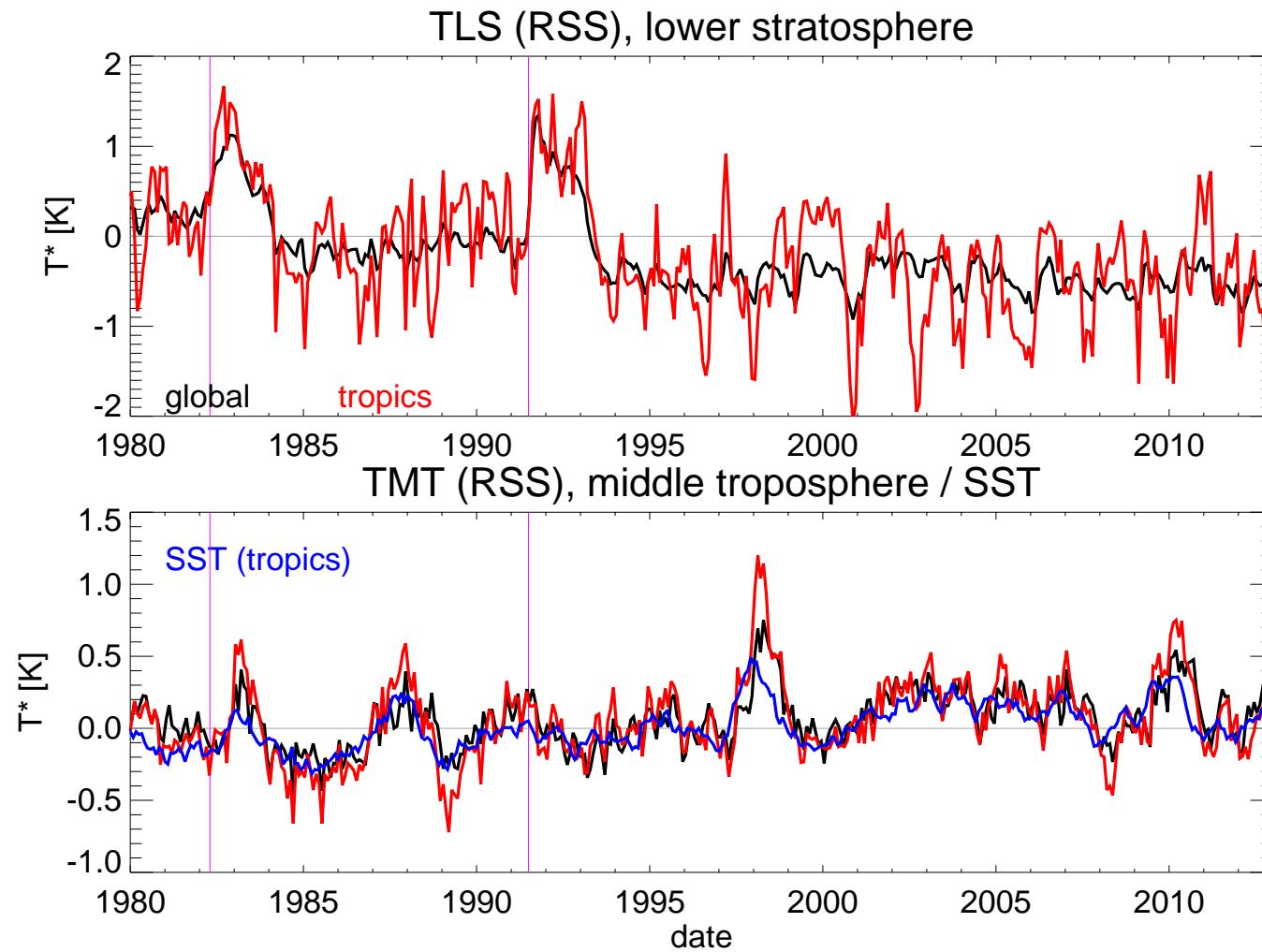
Temperature signal in tropics to many forcings see-saw between upper troposphere - lower stratosphere.

For analyses of tracer (incl. aerosol) / radiation /dynamics interactions, the channel is not ideal (reducing model resolution for comparison of course ok).

Left: Mean annual cycle of temperatures, (a) global average, (b) combined extratropics, (c) tropics. The MSU-4 weighting function shown right of panels.

[Fueglistaler et al. 2011].

# Observed temperatures - role of volcanoes



MSU temperatures in tropics and global, and tropical SST. **2 forcings:** Albedo increase (tropospheric cooling), and increased absorption in stratosphere (stratospheric warming).

# Radiative forcing of temperature and circulation

Quasi-geostrophic, TEM, thermodynamic energy equation with diabatic heating term approximated by Newtonian cooling,

$$\frac{T_E - T}{\tau_{\text{rad}}} = (N^2 H / R) \cdot w^* + \frac{\partial T}{\partial t} \quad (1)$$

$\tau_{\text{rad}}$  is the radiative relaxation time scale,  $T_E$  is the radiative equilibrium temperature,  $N^2$  is the buoyancy frequency,  $H$  is the scale height,  $R$  is the gas constant,  $w^*$  is the diabatic residual velocity,  $T$  is temperature, and  $t$  is time, and all quantities are zonal means.

Consider  $Q \equiv (T_E - T)/\tau_{\text{rad}}$ ,  $Q = Q^{\text{gas}} + Q^{\text{aerosol}}$ .

$$\frac{(T_E^{\text{gas}} - \textcolor{magenta}{T})}{\tau_{\text{rad}}} + \textcolor{red}{Q}^{\text{aerosol}} = (N^2 H / R) \cdot \textcolor{blue}{w}^* + \frac{\partial \textcolor{magenta}{T}}{\partial t} \quad (2)$$

Dynamics: system is forced by  $w^*$ , response to perturbation  $\textcolor{red}{Q}^{\text{aerosol}}$ ?

(Note that radiative forcing can be seen as a change in equilibrium temperature:  $\delta T_E^{\text{aerosol}} \equiv \textcolor{red}{Q}^{\text{aerosol}} \cdot \tau_{\text{rad}}$ .)

# Radiative forcing of temperature and circulation

How will the system respond? Rearrange eqn.:

$$\frac{\partial T}{\partial t} + \frac{T}{\tau} = \frac{T_E^{\text{gas}}}{\tau} + Q^{\text{aerosol}} - K \cdot w^* \quad (3)$$

- Dynamical forcing  $w^*$ ,  $T_E^{\text{gas}} = \text{const}$ ,  $\rightarrow T$  (initially  $\frac{\partial T}{\partial t}$ )  
Note: Tracers may respond to  $w^*$ , in which case  $T_E^{\text{gas}}$  is not constant (e.g. ozone amplification discussed before).
- Radiative forcing  $Q^{\text{aerosol}}$ ,  $T_E^{\text{gas}} = \text{const}$ ,  
 $\rightarrow T$ , or  
 $\rightarrow w^*$
- In steady state,  $Q^{\text{aerosol}}$  does not directly force a circulation, but impact on  $T$  may change dynamics  $\rightarrow w^*$  can change.

**If**  $w^* \sim \text{constant}$ ,  $T$  will increase  $\rightarrow$  **warming**.

**If**  $\Delta T$  changes dynamics (think  $T \leftrightarrow u$ , wave propagation),  $w^*$  may change substantially  $\rightarrow$  difficult to predict  $\Delta T$ .

In tropical average, dyn. feedback probably does not overcompensate temperature forcing from  $Q^{\text{aerosol}}$ ,  $\rightarrow$  **expect a warming**.

# Modeling

A numerical model is required to solve the problem, but:

Computers may **explode** -



but **GCM's don't do volcanoes** on their own.

→ Must prescribe volcanoes.

# Modeling

Possibilities:

- (i) Inject S → need detailed aerosol model.
- (ii) Prescribe aerosol, from:
  - observations
  - other model run
- (iii) Prescribe aerosol radiative heating  $Q^{\text{aerosol}}$  based on offline radiative calculation based on aerosol (see ii).

Different models/groups use different approaches, → difficult to compare results.

For comparison with ‘real’ volcanoes, it is probably best to use aerosol estimates from observations - avoids errors in aerosol model, but still affected by observational uncertainties. (Extinction measurements at some wavelengths, not  $Q^{\text{aerosol}}$ .)

## Data and methods

- Observations: MSU, (homogenised) radiosonde temperatures, tracers, reanalyses.
- CCMVal2 runs: temperature, eddy heat fluxes (100hPa).
- Aerosol heating  $Q^{\text{aerosol}}$ .:
  - Stenchikov/SPARC CCMVal aerosol heating rates  $Q^{\text{aerosol}}$ .
  - SOCOL run with new aerosol dataset (Arfeuille et al., ETHZ-4L), temperatures, eddy heat fluxes, total radiative heating and aerosol radiative heating  $Q^{\text{aerosol}}$ .
- From  $Q$  to  $T$ : Newtonian cooling approximation, Fixed dynamical heating calculations

# Model failure I: Temperature response

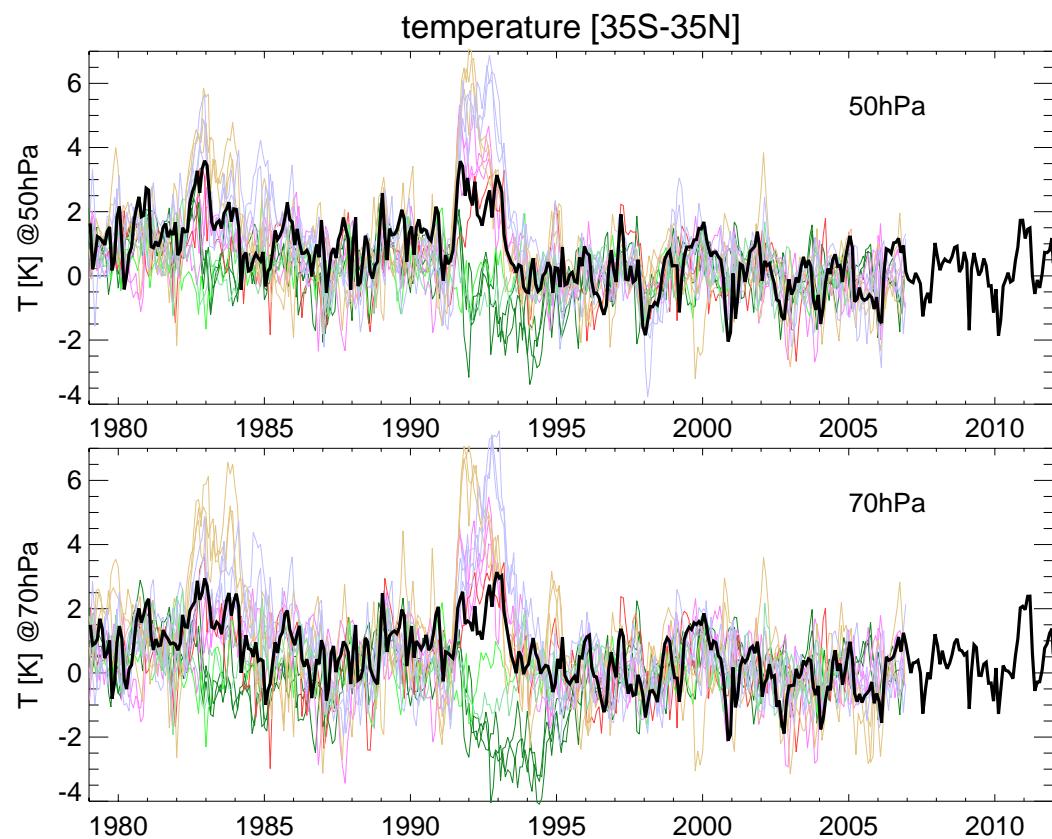


Figure: CCMVal2 (colors) and ERA-Interim temperature anomalies.

Models tend to **overestimate** temperature response.

**Implications:** Flooding of stratosphere, increase in GH-effect (counter aerosol cooling),  $\Delta T = 1\text{K} \sim 0.5\text{ppmv} \sim 0.1\text{W/m}^2$ .

**Q:** Observations?

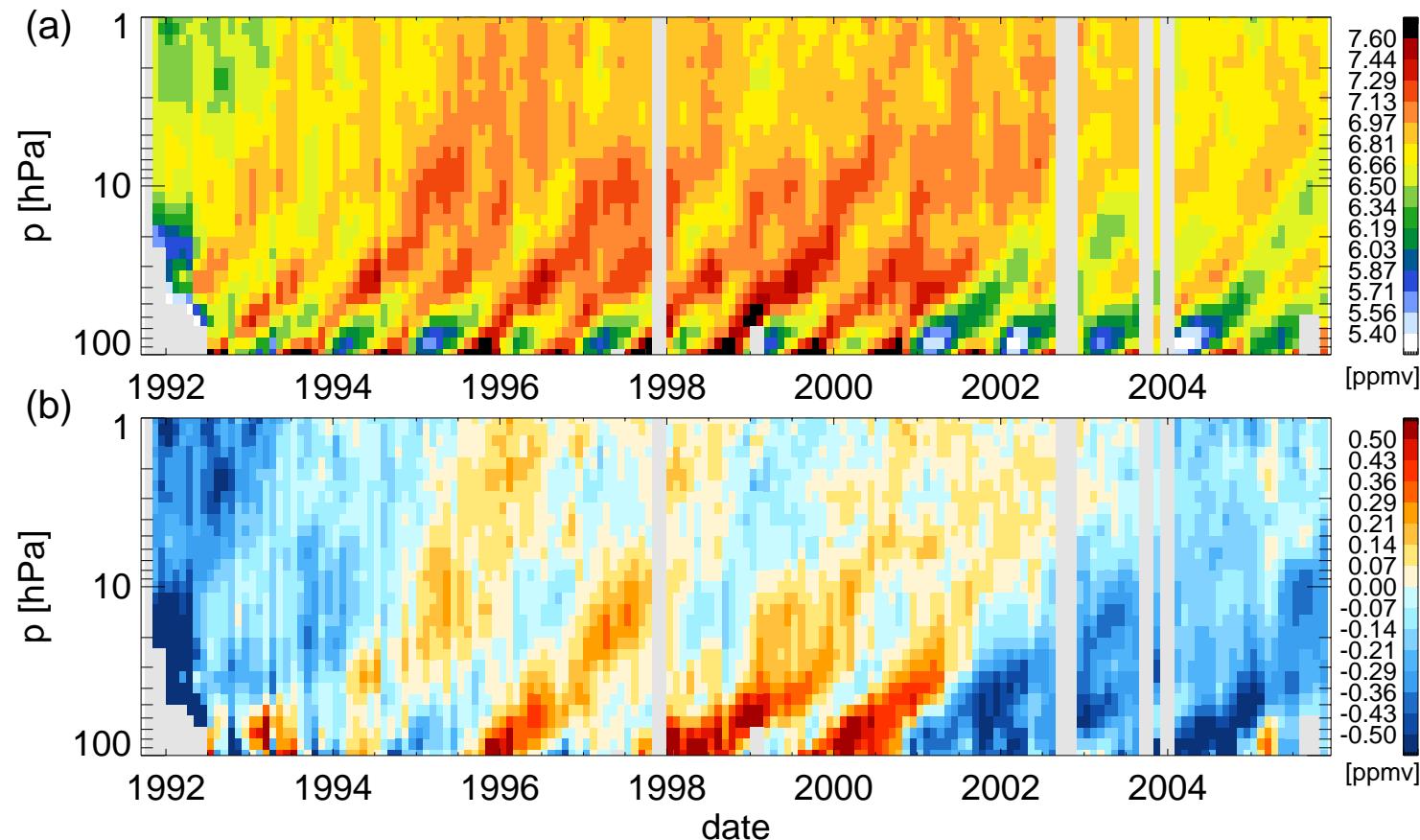
Error sources: (i) Other tracers (ozone) - small compared to aerosol.

(ii) Aerosol heating  $Q_{\text{aerosol}}$  wrong.

(iii) Dynamical response ( $w^*$ ) wrong.

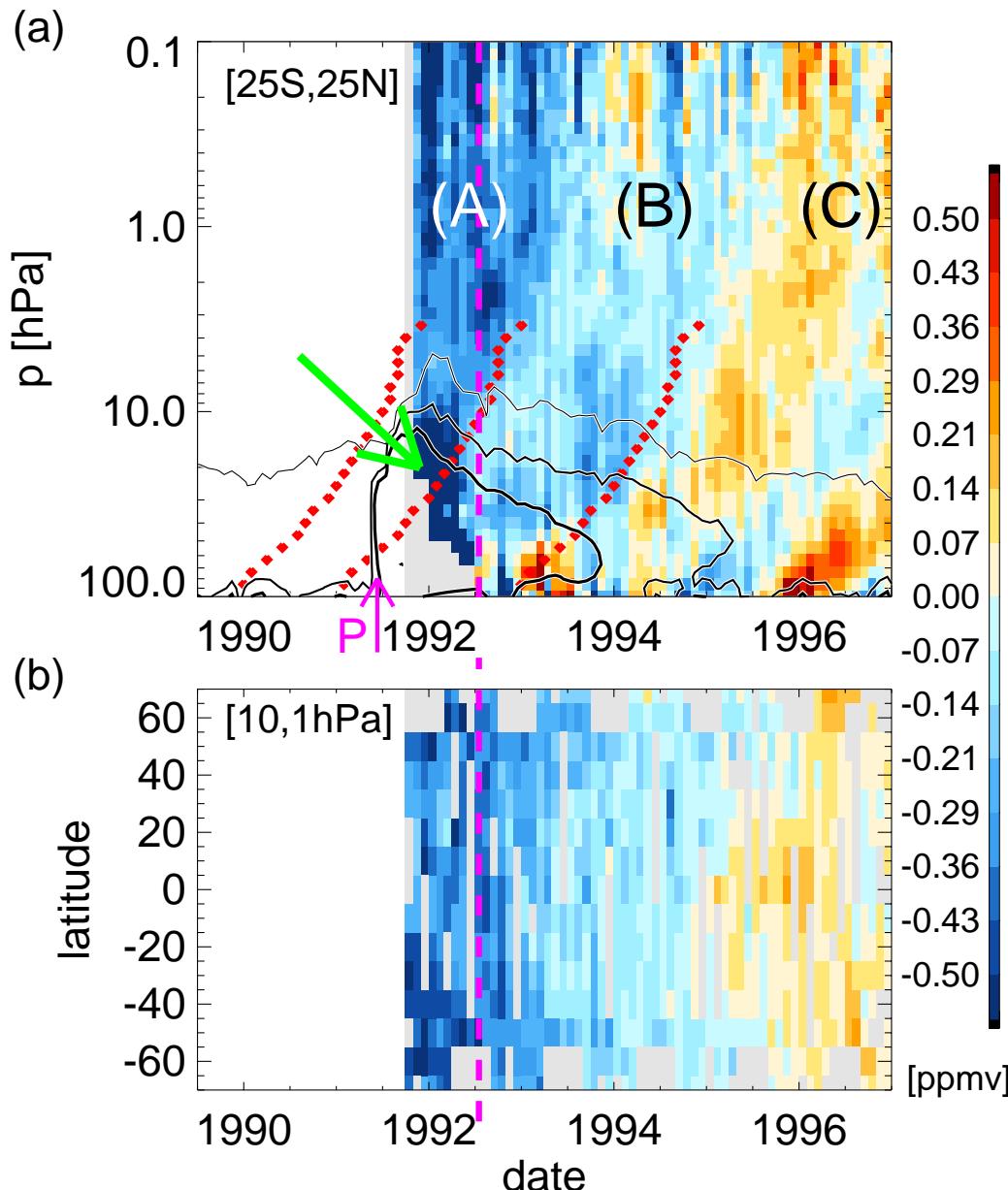
→ Implications for geoengineering model results?

# Flooding of stratosphere?



Tropical HH ( $\text{H}_2\text{O} + 2\text{CH}_4$ ) from HALOE (lower panel: deseasonalised).

# Flooding of stratosphere?

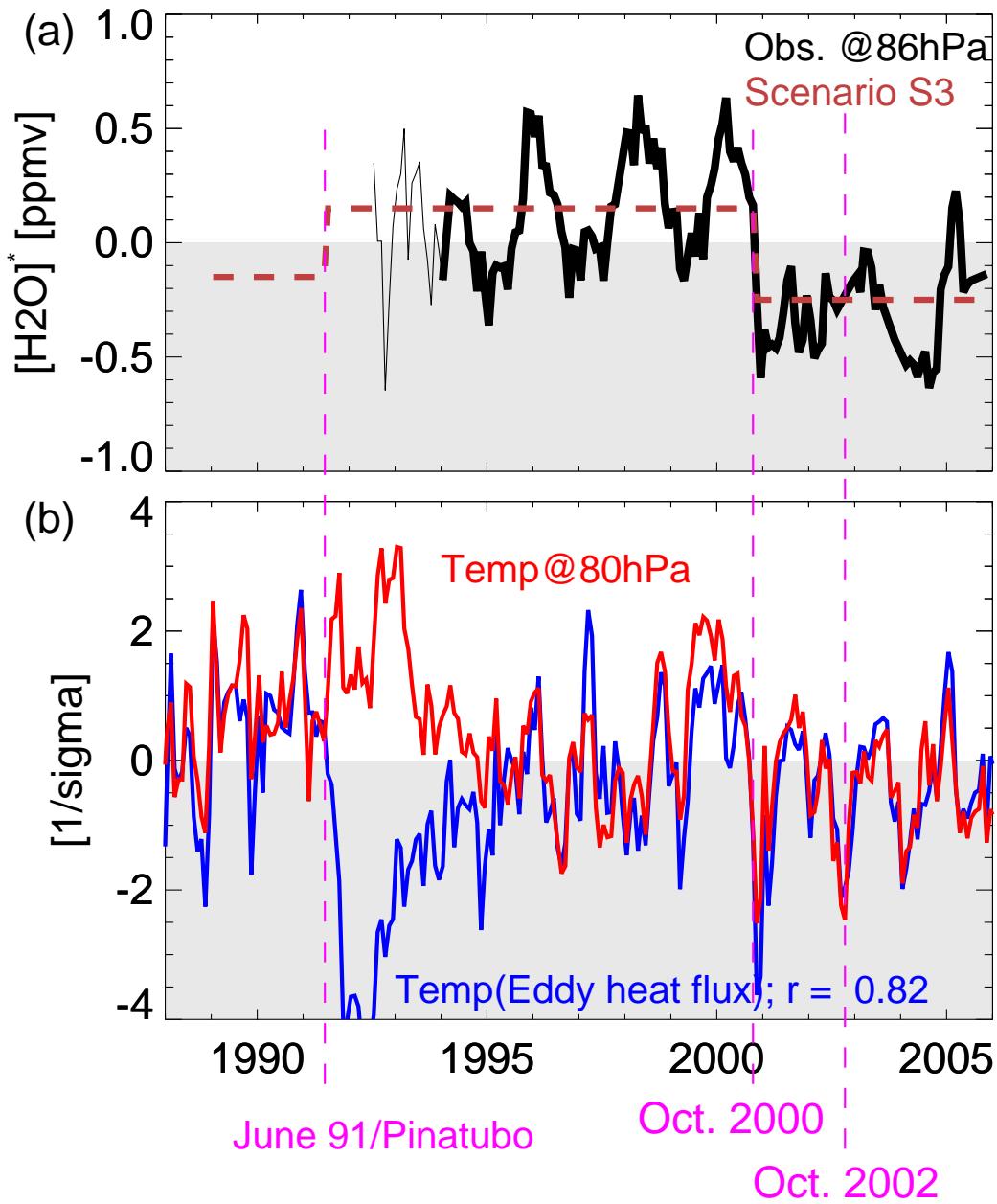


Fueglistaler (2012) argues ...

- (i) increase from late '80's to 90's is real,
- (ii) but not due to Pinatubo.

→ At **tropopause levels**, Pinatubo had only a small effect on temperature.

## Model failure II: Dynamical response



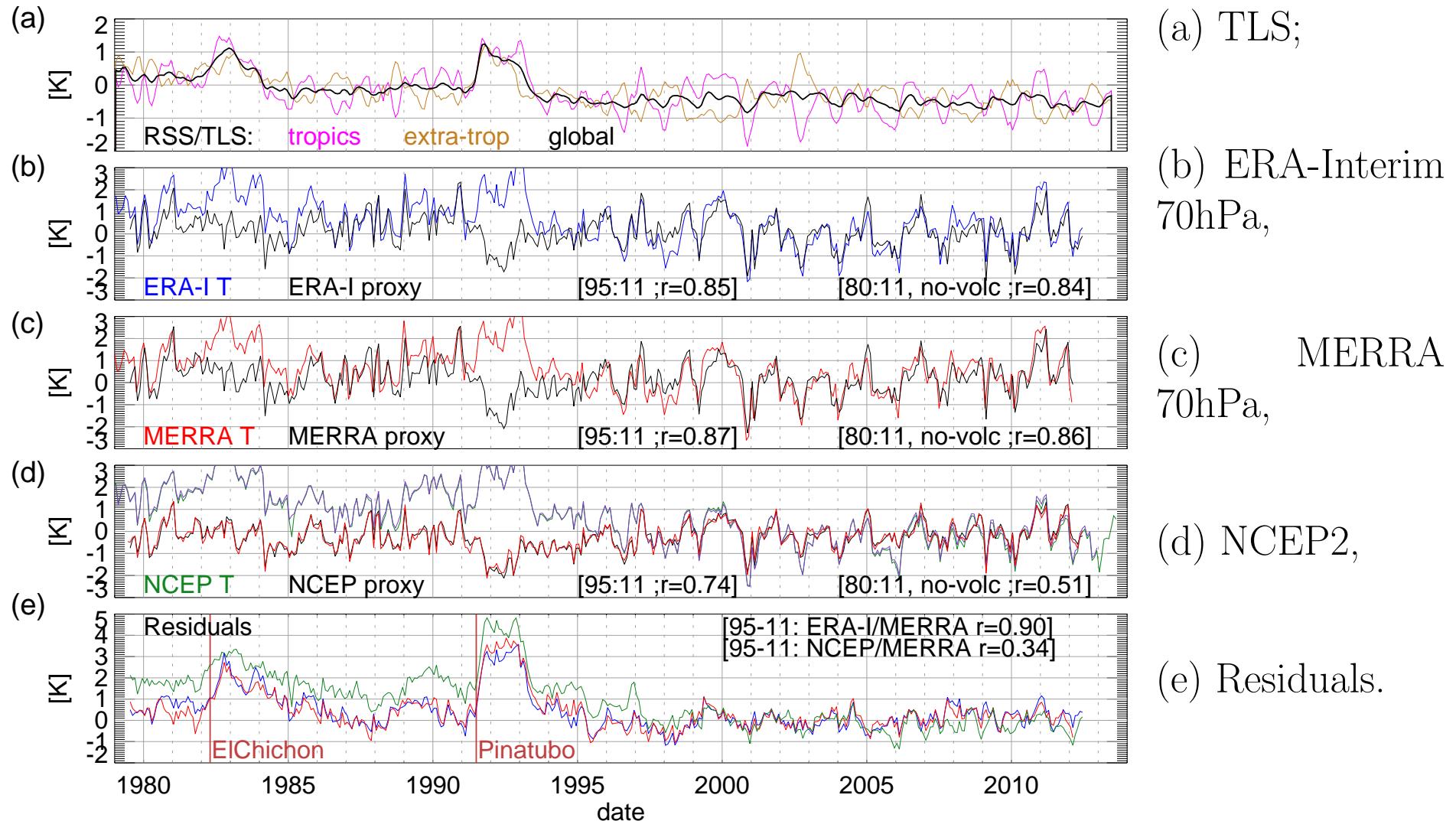
Fueglistaler (2012): extratropical eddy heat flux e-folded with about 90 days is highly correlated with tropical lower stratospheric average temperatures

(Depending on reanalysis and exact formulation of proxy, correlation coefficients are up to about 0.9.)

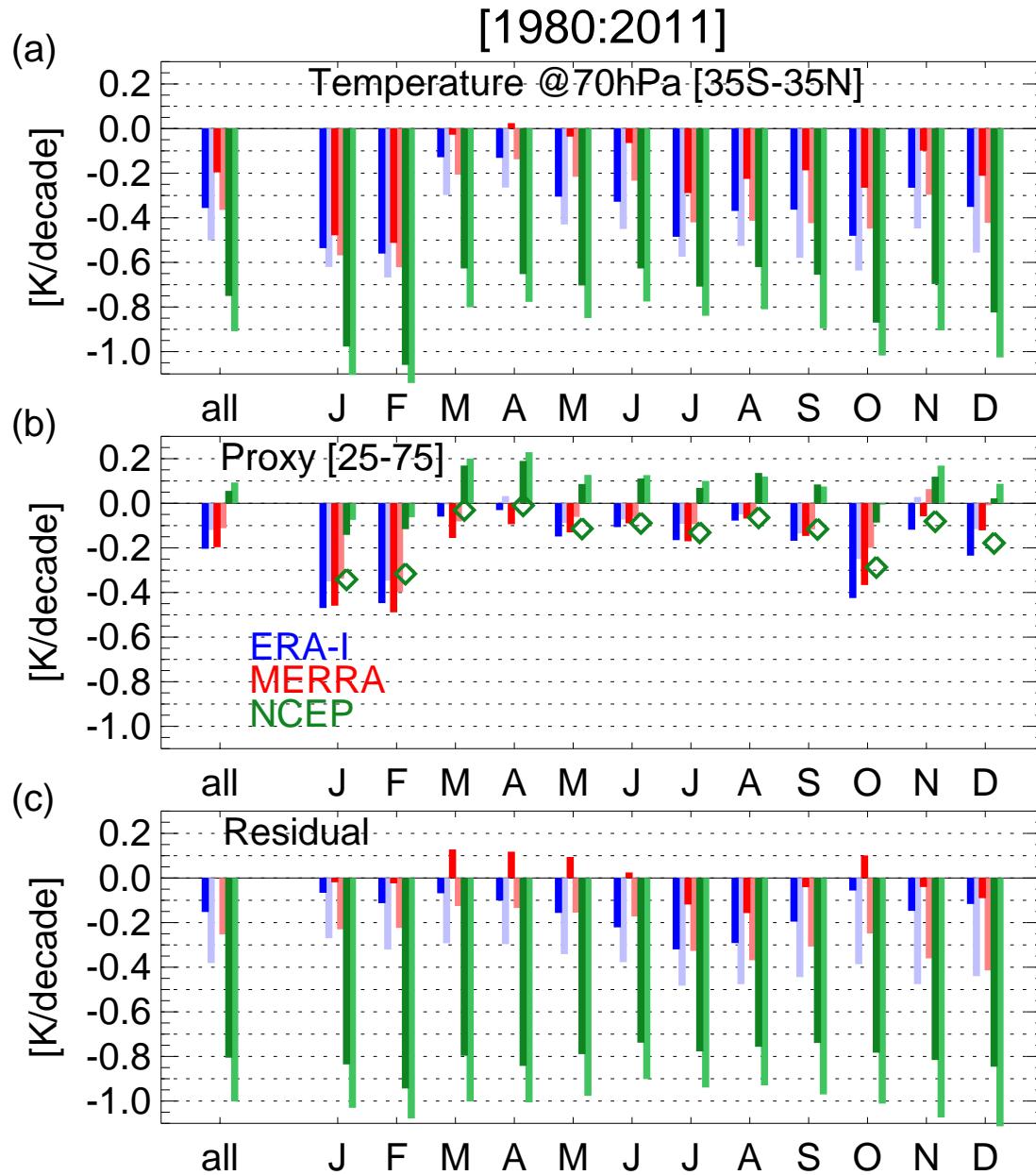
**Except** during the period following the eruption of Pinatubo.

→ The actual aerosol-related  $\Delta T$  (from  $Q^{\text{aerosol}}$ ) may be much larger than the actual observed  $\Delta T$ .

# The dynamical response in reanalyses



# The dynamical temperature proxy

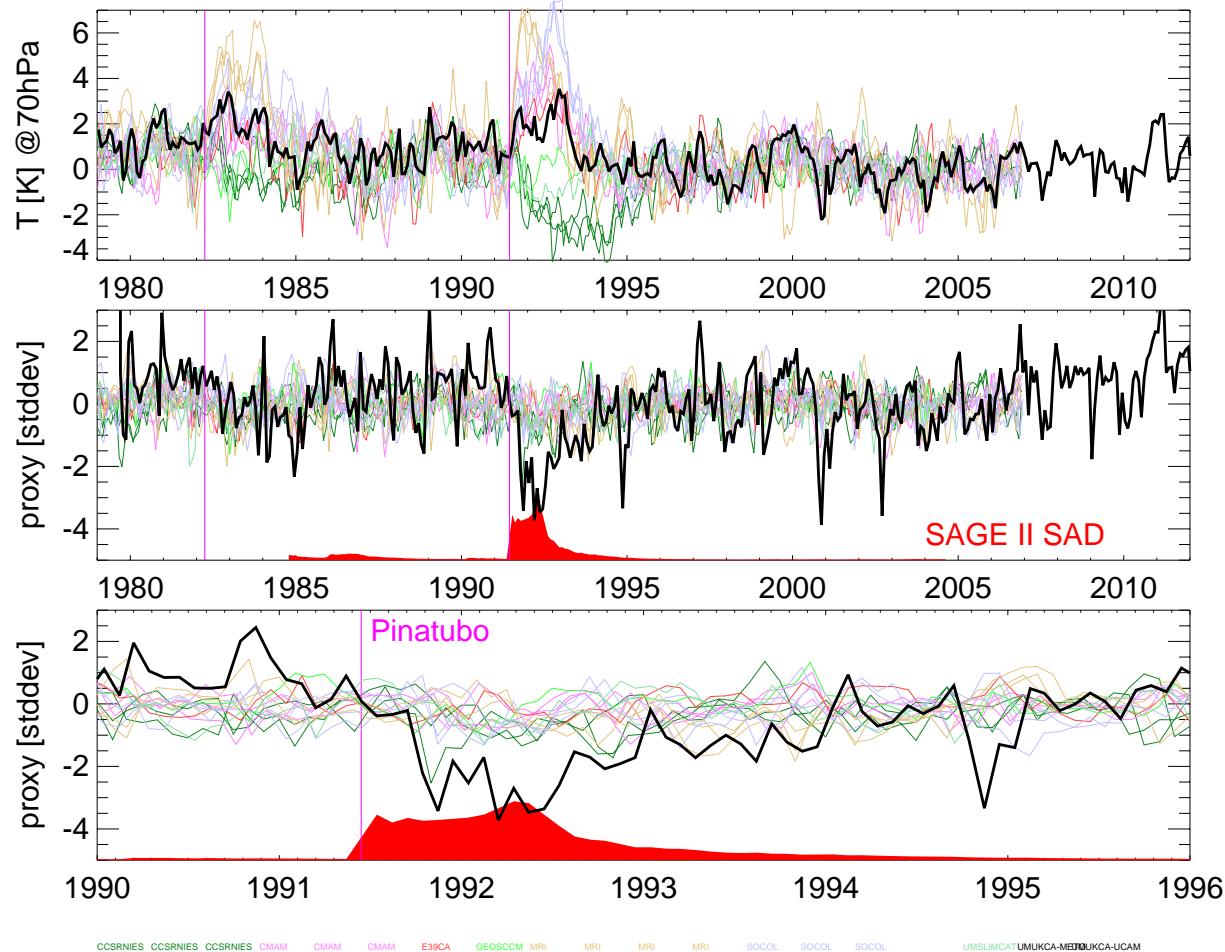


Trends (K/decade) with (light color)/without (dark color) volcanic periods, for ERA-Interim (blue), MERRA (red), NCEP (green).

- (a) Temperature
- (b)  $v'T$ -based proxy
- (c) residual.

→ Also trends in proxy are sensitive to volcanic periods.

## Model failure II: Dynamical response



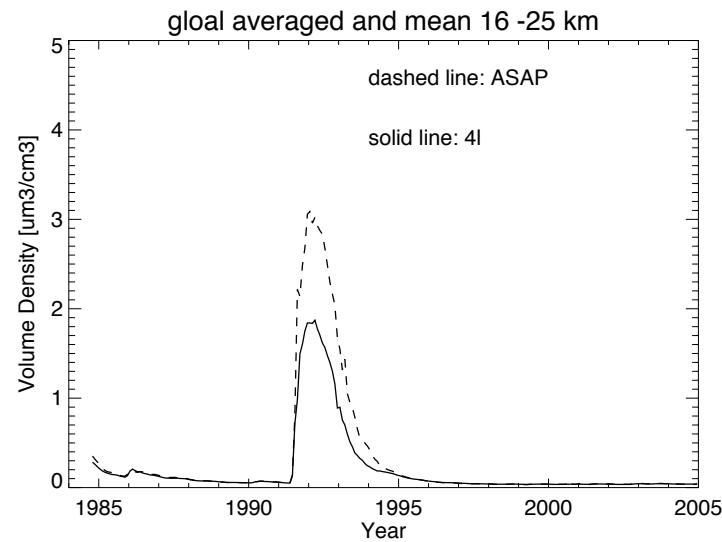
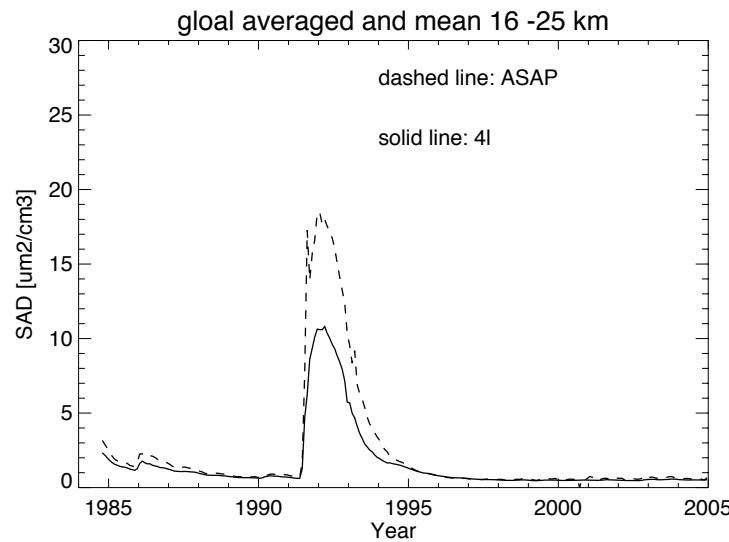
Colors: CCMVal2;  
Black: ERA-Interim.

Temperature at 70hPa.

Dynamical proxy at  
70 hPa.

→ Models fail to  
capture dynamical re-  
sponse (4-std deviations  
of 1994-2011).

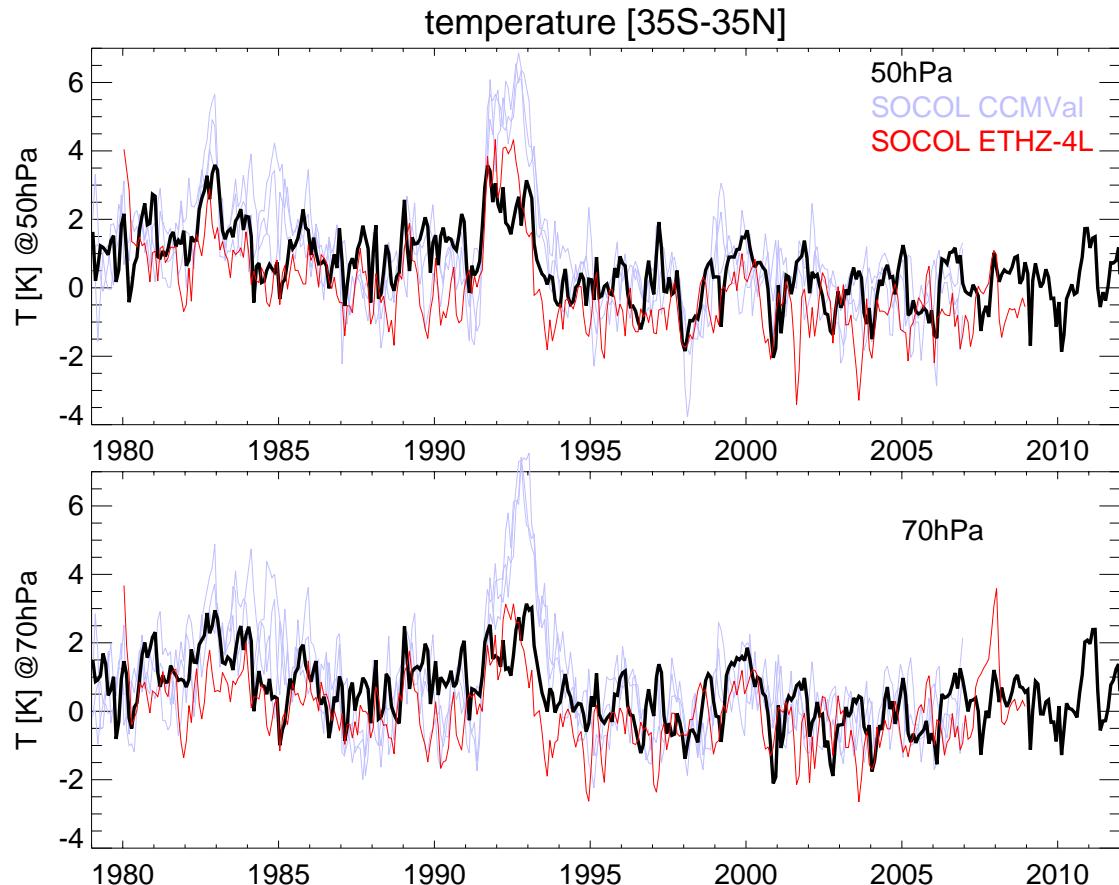
# Differences in aerosol properties



Surface Area Density (left) and Volume Density (right) of aerosol.

Dashed: SPARC ASAP dataset. Solid: New ETHZ  $4\lambda$  dataset based on SAGEII V7 (see Arfeuille et al. 2013).

# Model runs with different aerosol



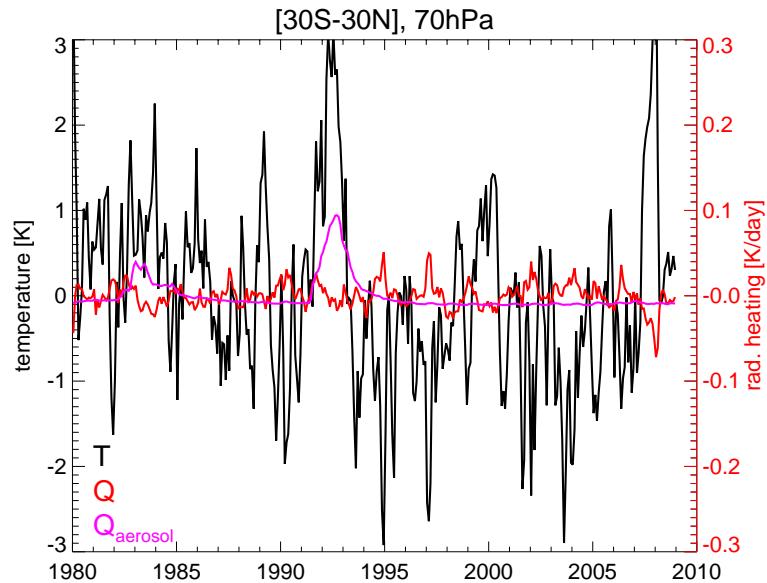
SOCOL runs with ASAP and  $4\lambda$  aerosol.

Top: 50hPa.

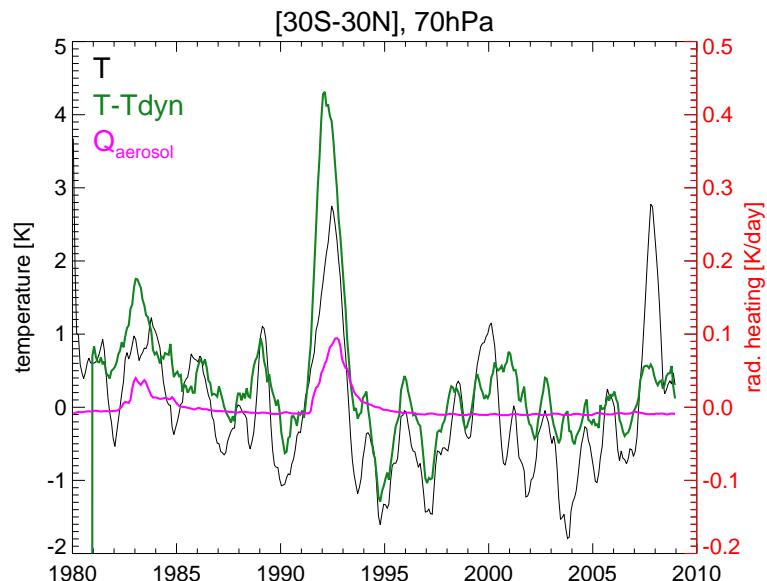
Bottom: 70hPa.

New model runs agree better for temperature (less warming); consistent with expectation from aerosol properties.

# The SOCOL/ $4\lambda$ run

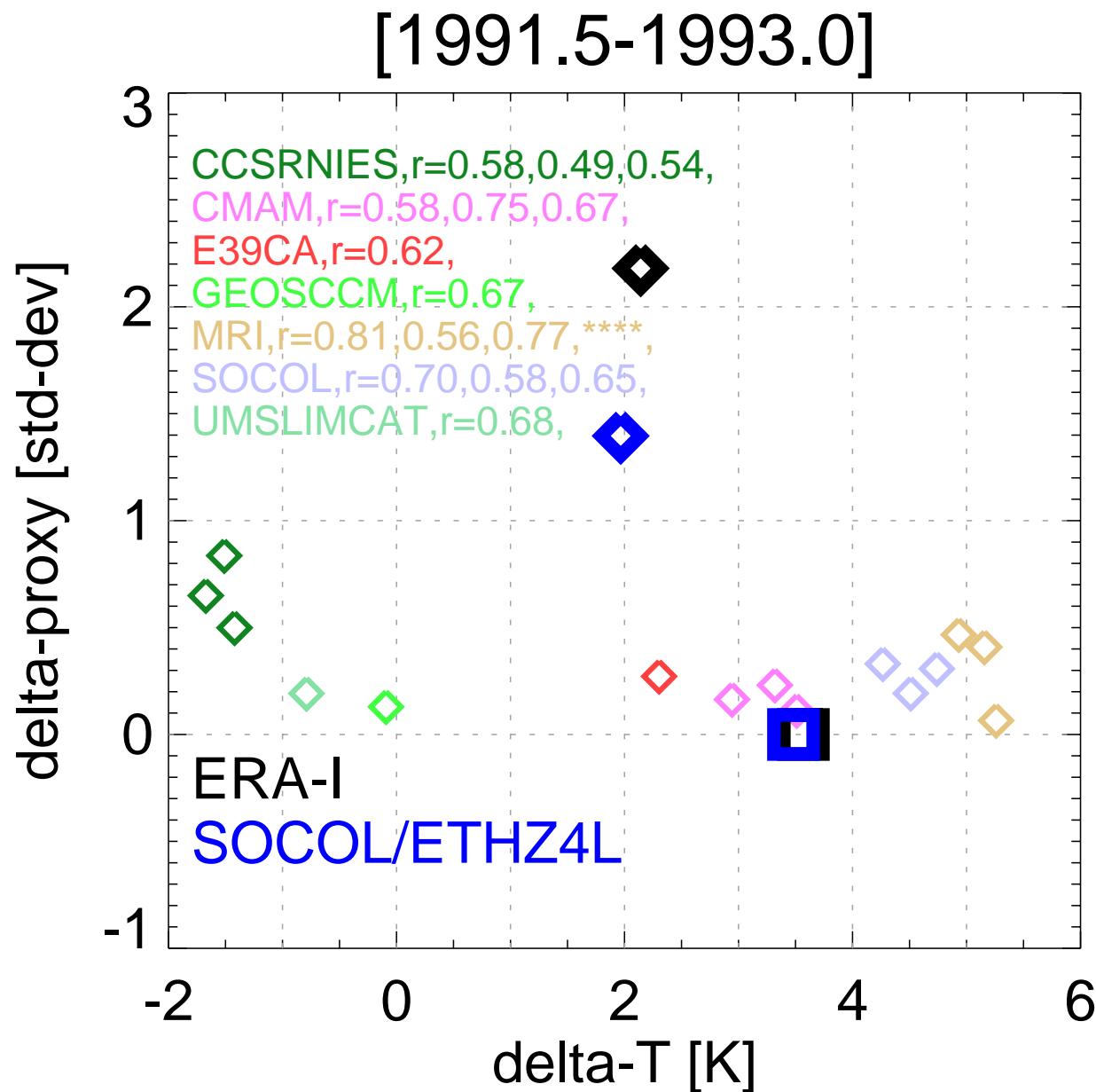


Temperature, radiative heating, and aerosol radiative heating. Recall:  $\frac{\partial T}{\partial t} + \frac{T}{\tau} = \frac{T_{E\text{gas}}}{\tau} + Q_{\text{aerosol}} - K \cdot w^*$

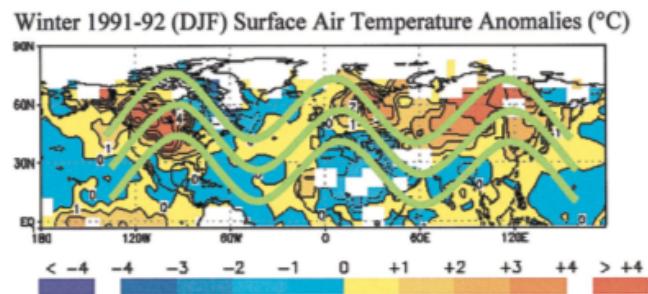
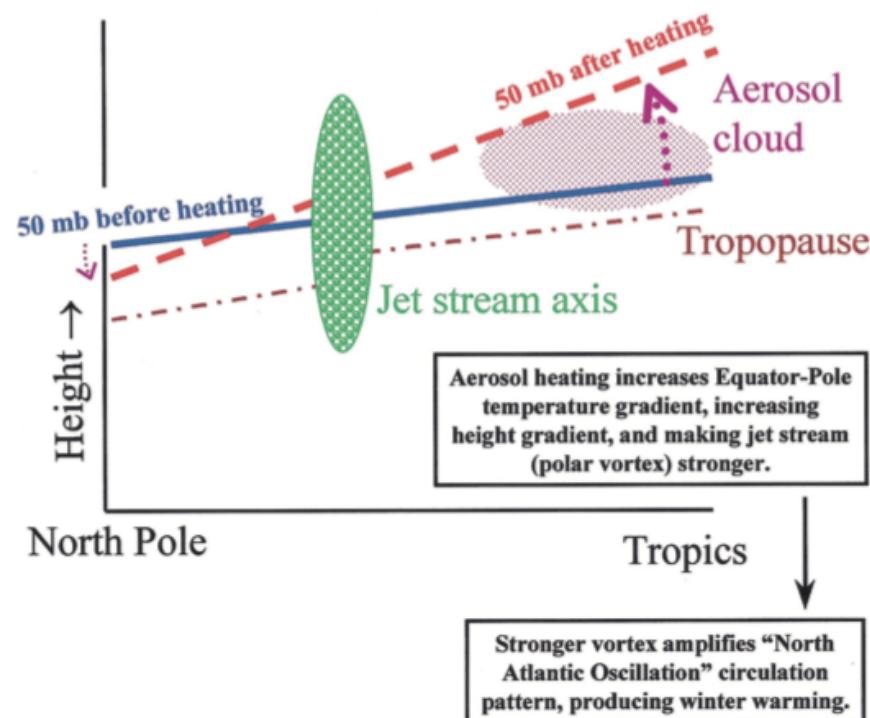


Temperature, aerosol radiative heating, and the difference between temperature and the dynamical ( $v' T'$ ) temperature proxy.  
 → This model runs does show a substantial response in dynamics.

# Pinatubo-period temperature and dynamics

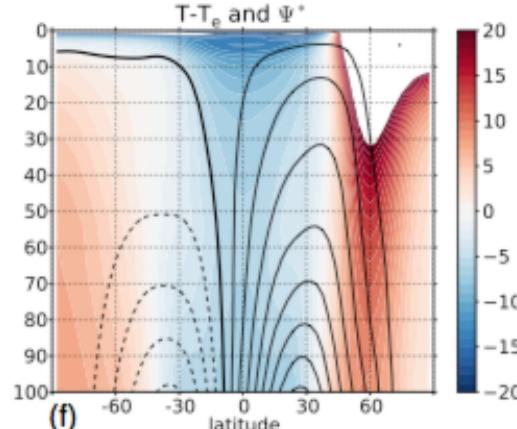
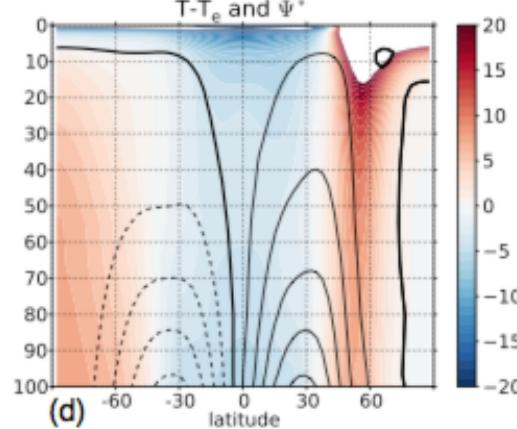
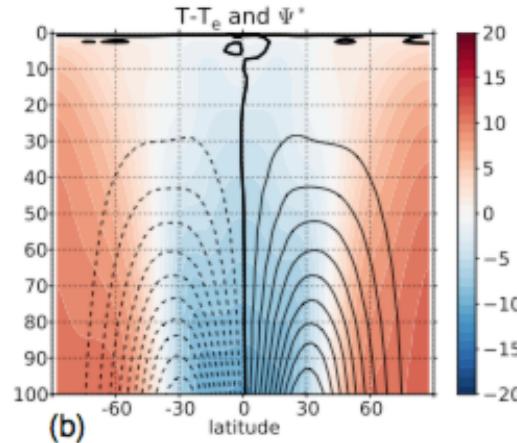


# The dynamical response - the cartoon



[Robock, Rev. Geophys., (2000)]

# The dynamical response - primitive equation models



Experiment: Use dry GCM with Newtonian cooling, force with  $Q^{\text{aerosol}}$ . Importance of base state?

Left: 3 dry GCM setups, diabatic heating (color), residual streamfunction (black).

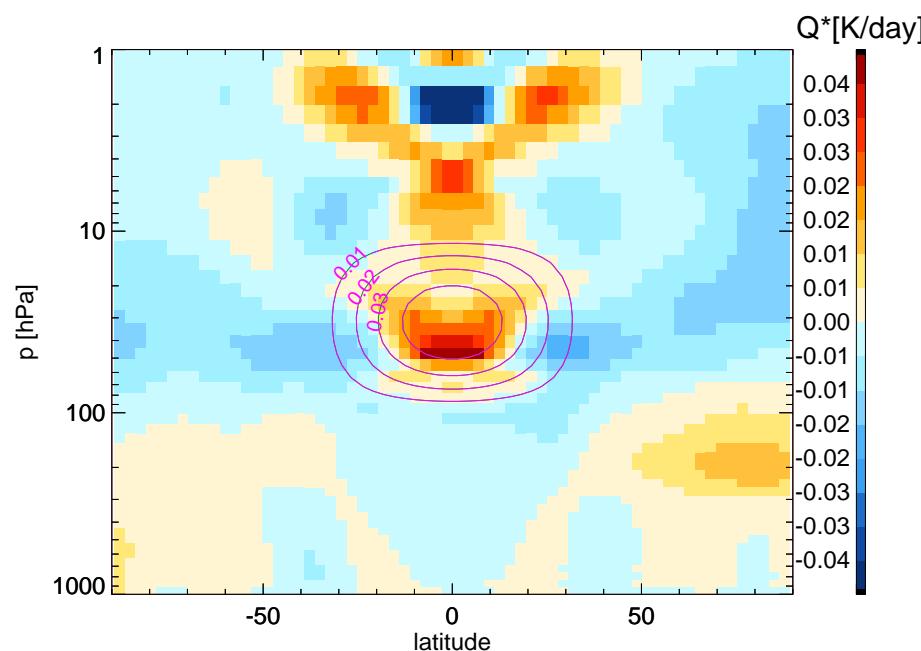
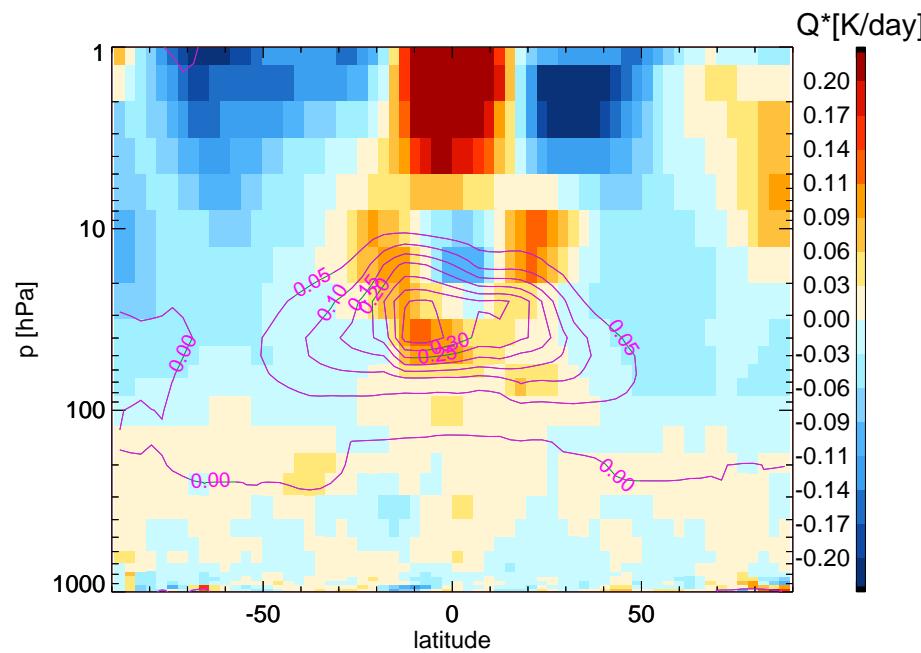
Top: Held-Suarez (1994).

Center: Polvani and Kushner (2002).

Bottom: Gerber and Polvani (2009).

[Jucker/Fueglistaler/Vallis, JAS, in press.]

# SOCOL and dry GCM: Q-forcing and Q-response



Top: SOCOL/ $4\lambda$ .  
 Bottom: HS94, idealised forcing.

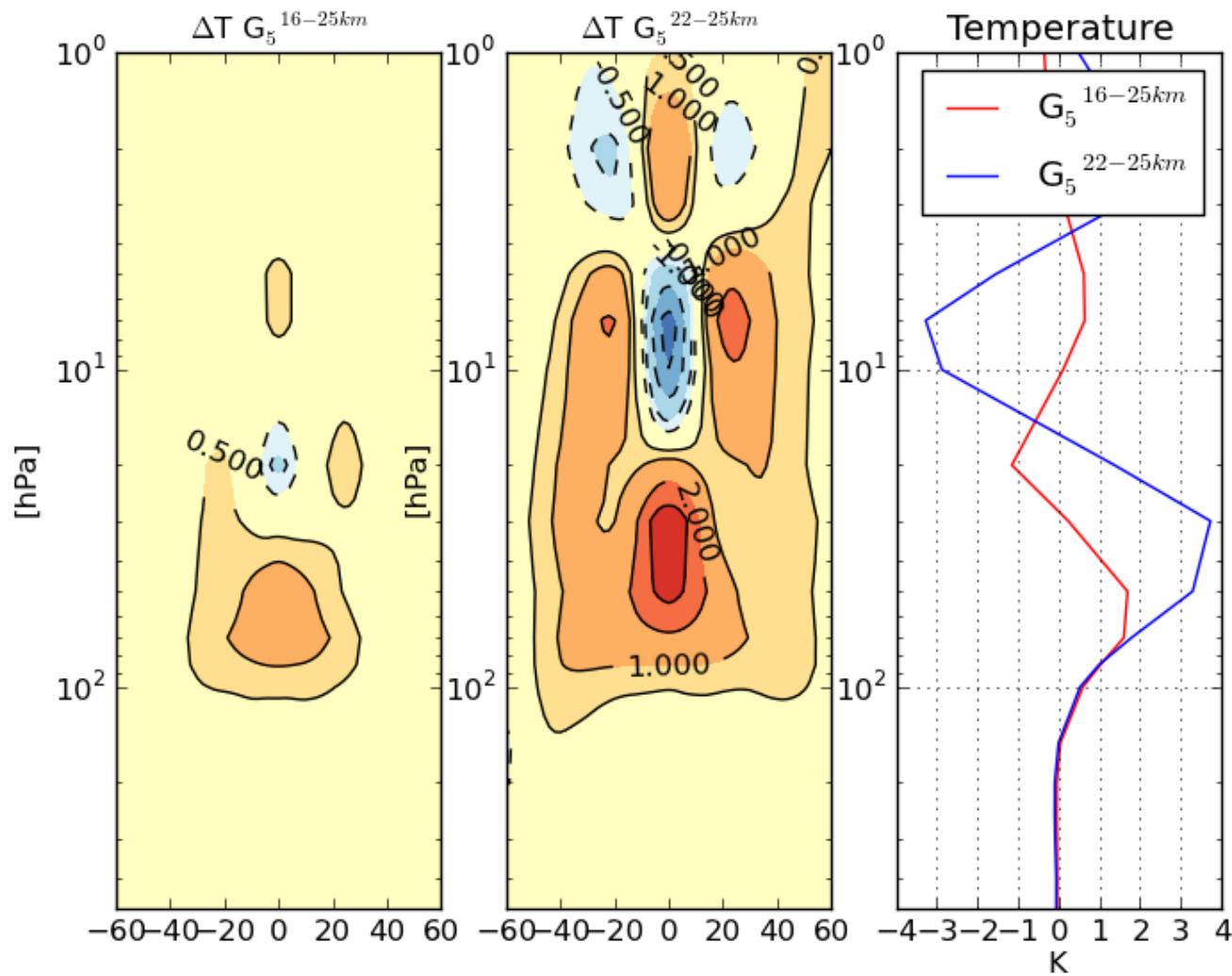
→ Some similarities, some differences.

→ Both model calculations have a global-scale response that is a strengthening of the stratospheric residual circulation.

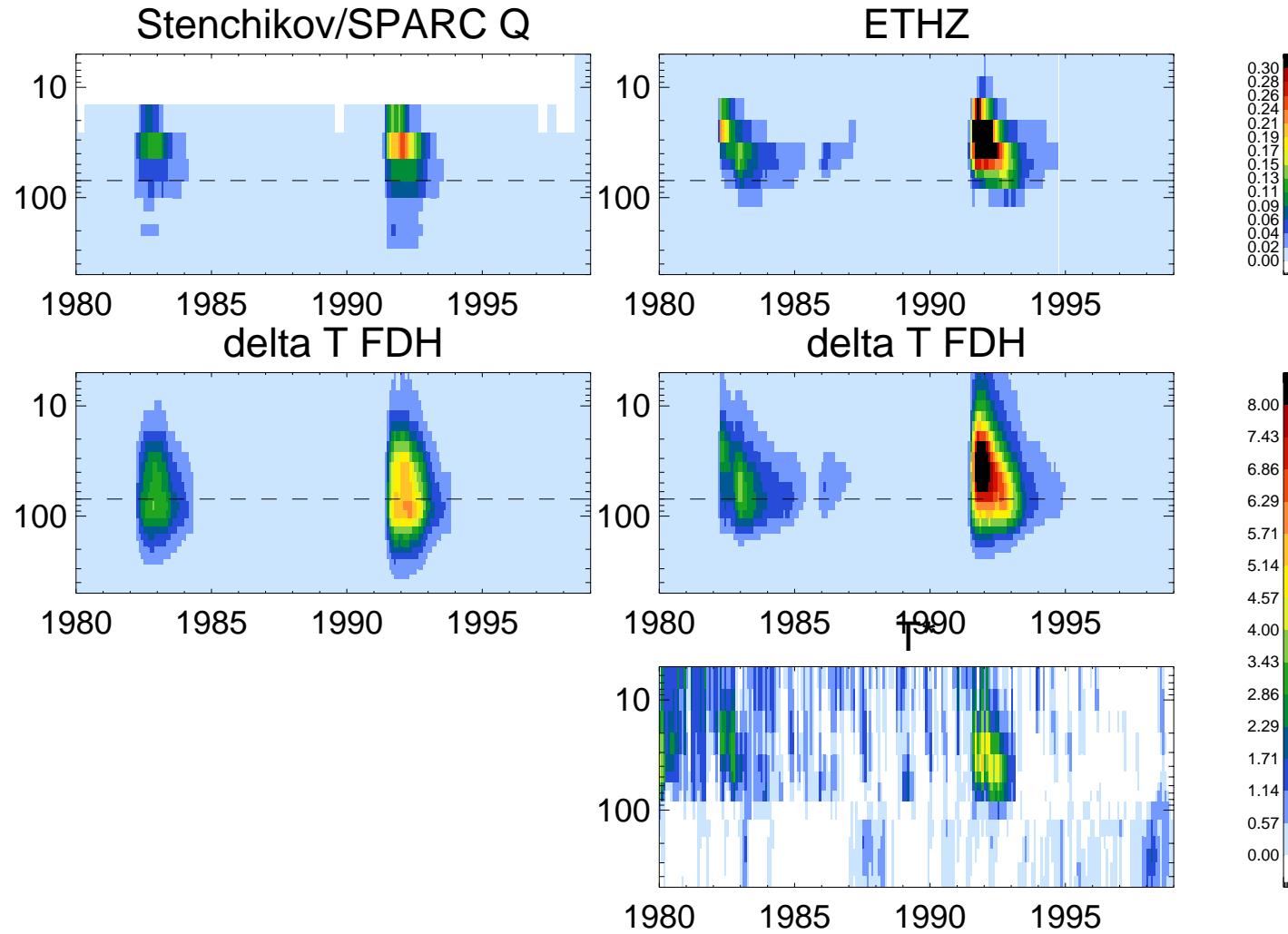
Recall TD-equation discussion:

→ neither  $T \sim \text{const.}$  nor  $w^* \sim \text{const.}$

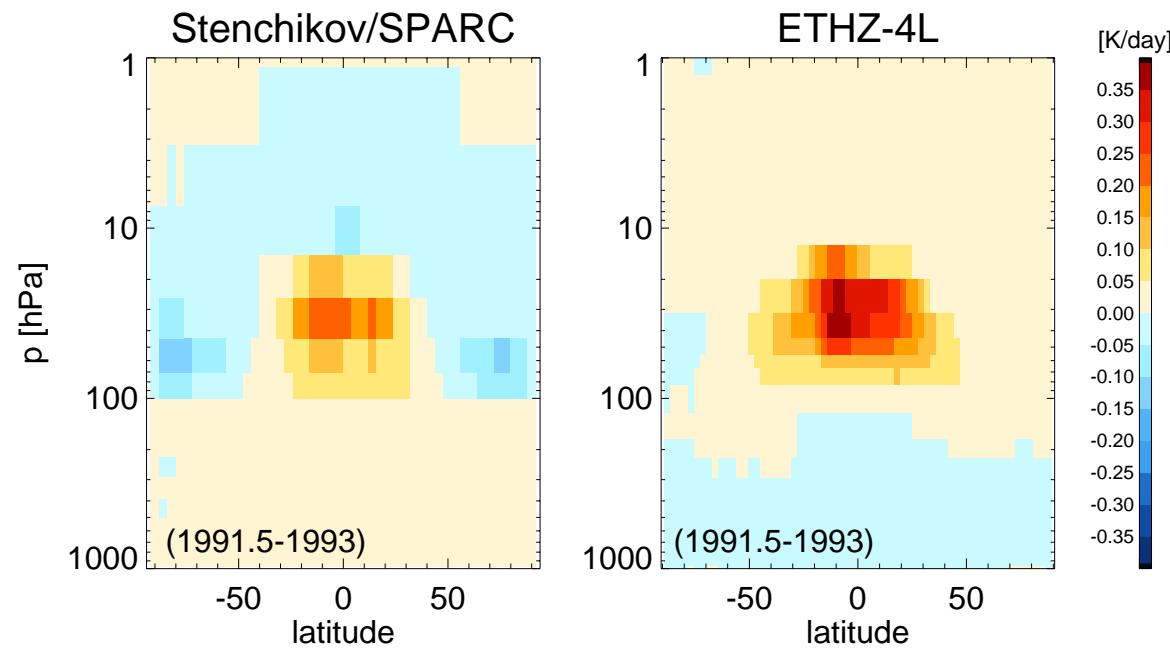
# Response in a geo-engineering run, Aquila et al. (see poster)



# Comparison of forcings



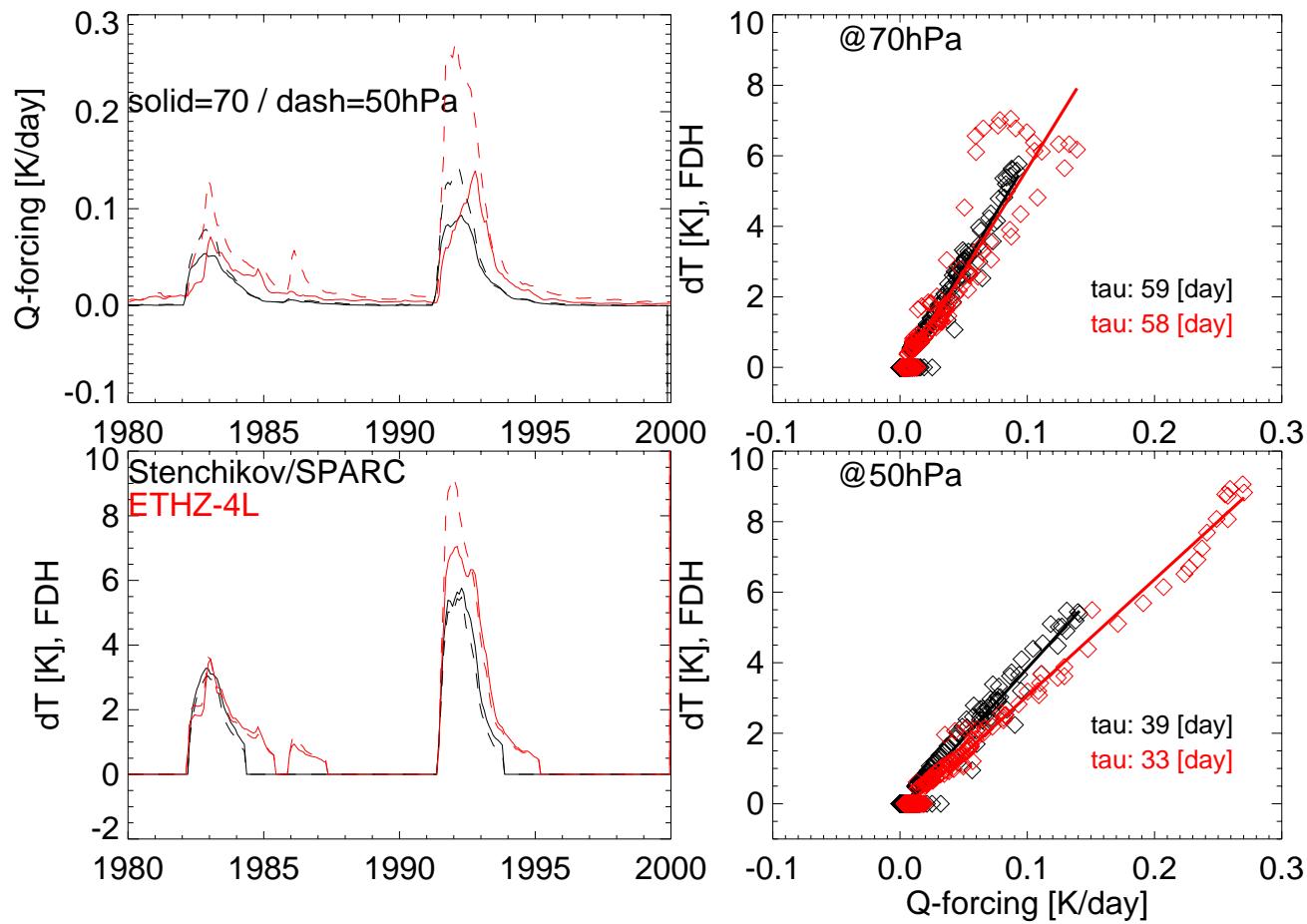
## Comparison of forcings: $Q^{\text{aerosol}}$



→ ETHZ/4 $\lambda$  has a weaker forcing than SPARC/ASAP, but stronger than Stenchikov/SPARC.

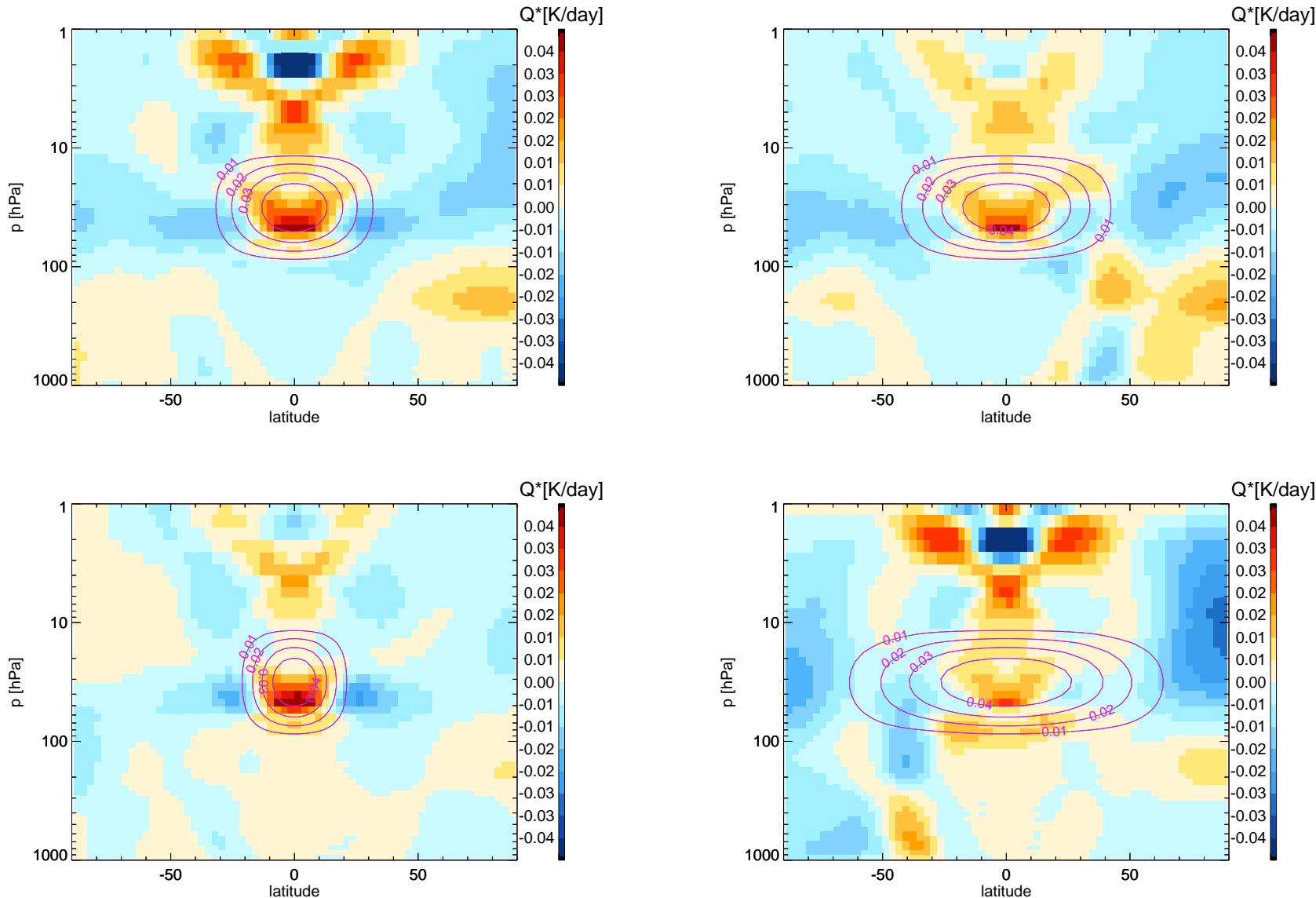
## FDH temperature estimate ( $Q^{\text{aerosol}} \leftrightarrow T$ )

How valid is FDH? Works ok for volcanic forcing, but amplitude is much larger than observed:



As previous figure, but for 70hPa and 50hPa. Scatter plots: linear relation between delta-Q and delta-T for FDH reasonable.

# Sensitivity to diabatic forcing



## Bottom line:

- Observed temperature change in response to increase in aerosol is highly complex response; not clear how well models get the dynamical response. ‘Correct’ temperature evolution may be fortuitous.
- No flooding of the stratosphere following Pinatubo - also because of dynamical response.
- Lack of dynamical response: not because of too weak amplitude in forcing. Q: fundamental problem of models, or sensitive to details in  $Q^{\text{aeorsol}}$ ? → Would be helpful to know  $Q^{\text{aeorsol}}$  rather than aerosol properties.
- Linear trends since 1979: better exclude volcanic periods.
- Vindication of radiative forcing on circulation ... ? Coupling between tracers, radiation, temperature and dynamics very strong; but think:  
 $Q^{\text{aeorsol}} \rightarrow dT/dt \rightarrow T \rightarrow u \rightarrow \text{EP-flux} \rightarrow w^* \rightarrow dT....$

**Thank you!**